

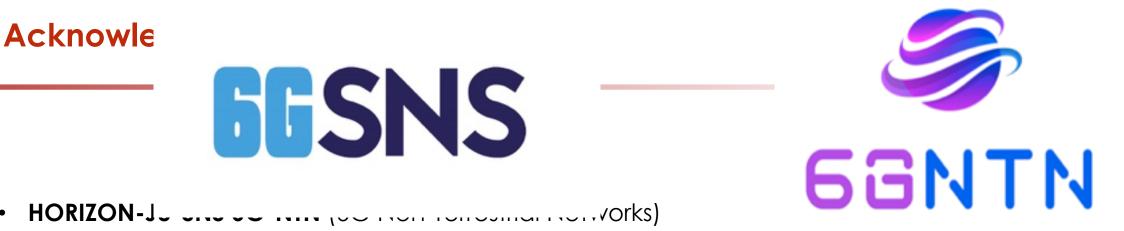


EuCNC 2023 - Wireless, Optical, and satellite track

O-RAN based Non-Terrestrial Networks: Trends and Challenges

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- research and develop the innovative technical, regulatory, and standardization enablers needed to ensure the full-fledge integration of the NTN component into the 6G system to meet vertical industries and consumer market expectations
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Participant N. **Participant organisation name**

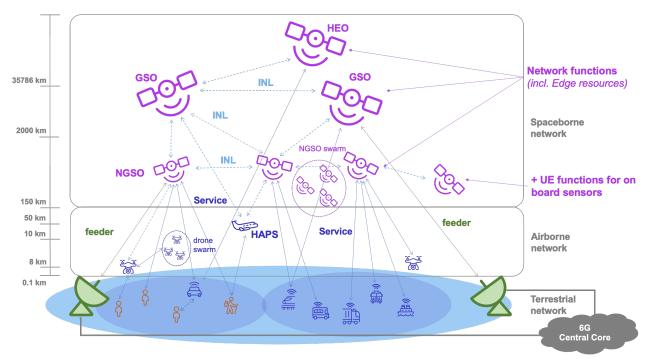
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Introduction

- One of the enabling elements of B5G and 6G system will be the integration of Non-Terrestrial Networks (NTN) into terrestrial ones.
- To ensure a proper integration it is required:
 - autonomous network monitoring and management
 - data-driven optimization of network functions enabled by AI
- This concepts are enforced by the Open RAN paradigm (O-RAN).
- Extension of O-RAN to the NTN component.



A. Guidotti et al., "Role and Evolution of Non-Terrestrial Networks towards 6G systems," submitted to IEEE Access, June 2023.



Objective

In this paper, we identify a possible **architecture solution for an O-RAN-based NTN** system and we highlight the O-RAN implementation trends with the potentiality of increasing the NTN system efficiency.

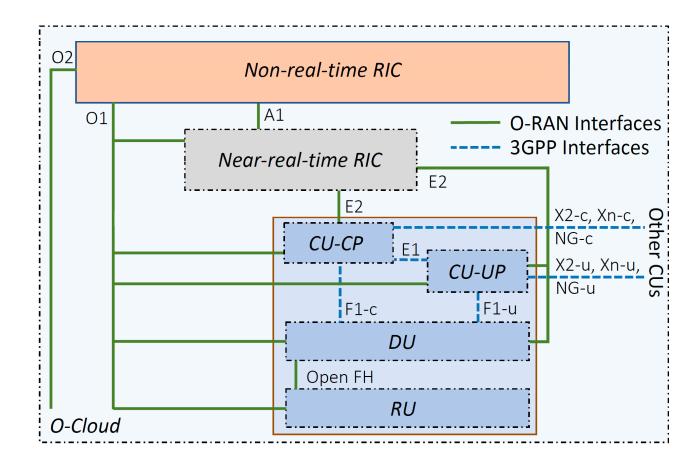
- We identify the functions of the NTN system that can be optimized and enhanced by fully exploiting the O-RAN concept
- An analysis of the O-RAN implementation trends is provided highlighting their advantages along with the brought challenges



Open RAN Architecture

It is based on the concepts of:

- Disaggregation
- Virtualization
- RAN Intelligent Controllers (RIC)
- Open Interfaces

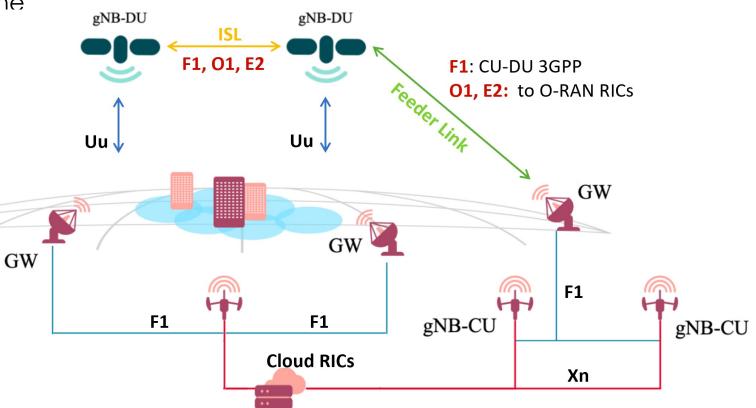


M. Polese et al., "Understanding O-RAN: Architecture, Interfaces, Algorithms, Security, and Research Challenges," Aug. 2022, arXiv:2202.01032



NTN System Architecture

- Terrestrial Segment: interconnects the gNB-CUs with the 5GC and the GWs
- User segment: potentially massive number of UEs
- Access segment:
 - Regenerative nodes
 - The node embarks the full gNB or part of it (Functional split)
 - The nodes are connected to the terrestrial segment trough the GWs or ISLs

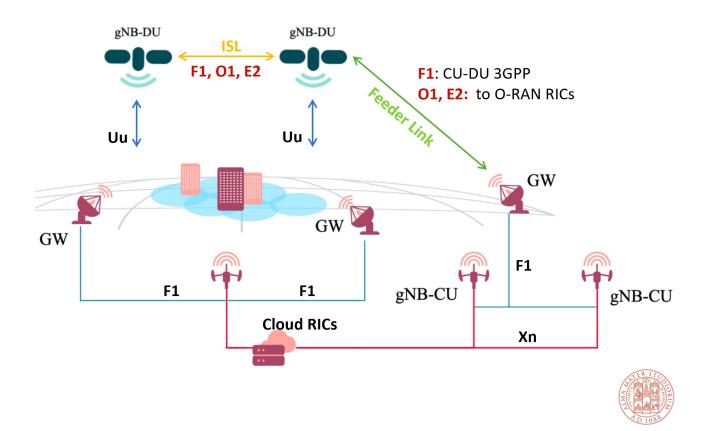




O-RAN in NTN: Architectural design aspects

While adapting the O-RAN concept to NTN, we focused on:

- the network entity in which the O-RAN components are implemented;
- the physical links to which the O-RAN interfaces are mapped.

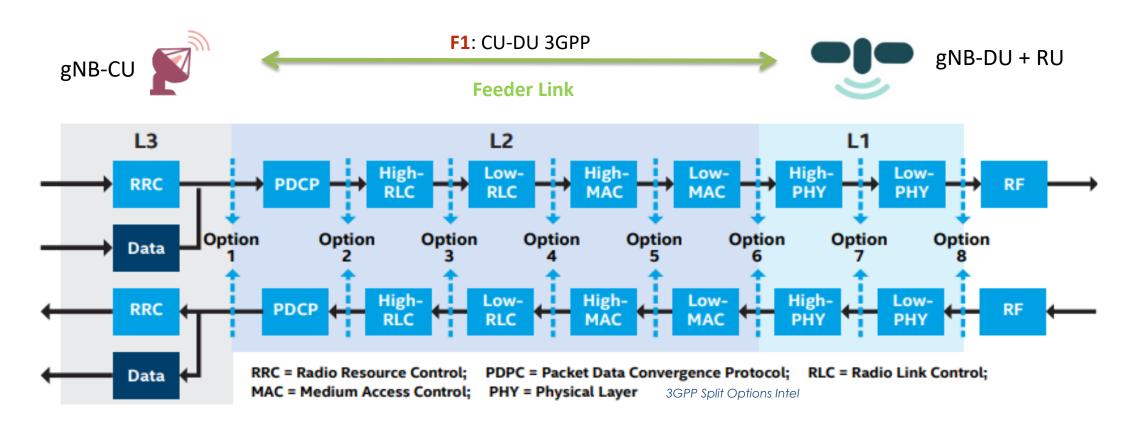


The **RICs are implemented in the cloud**, interconnected through the ground distribution network.

3GPP and O-RAN interfaces mapping:

- NR-Uu air interface is mapped on the user access link.
- 3GPP F1 and O-RAN O1 and E2 interfaces are mapped on the Feeder Link and Inter Node Links.

gNB Functional Split in NTN



Increase interface required performances

Increase NTN node required computational power





Future Trends

The **near-RT RIC** will be in charge of:

- computing the optimal functional split based on the collected network status data;
- redeploying the network functions in the CU and DU according to it.

the near-RT RIC application will need to be an **AI algorithm**, in order to:

- foresee the future behaviours and needs of the network;
- optimize the functional split in advance.



The comm. payload **power is a scarce resource**, and it is **not constant in time**.

This AI operates by **collecting data from the network** about:

- Type and volume of requested user traffic;
- Payloads computational power capabilities;
- Payloads instantaneous available power;
- CU-DU physical feeder link instantaneous throughput and latency.

An **AI app** in the near-RT RIC will be able to select and implement the optimal functional split that allows to:

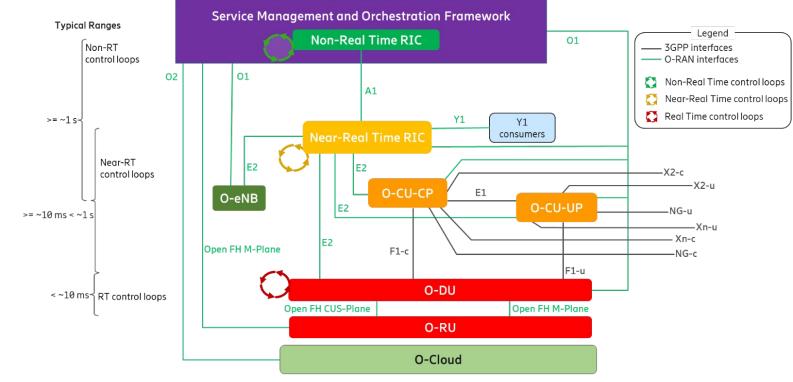
- Minimize the payload energy consumption;
- guaranteeing an appropriate Quality of Service (QoS).



Future Trend: Data-driven Optimization of NTN RAN

The O-RAN based NTN architecture enables:

- The collection of KPIs from the network nodes (E2 and O1) through the open interfaces;
- The exploitation of the collected data to train the AI/ML models in the RICs;
- The exploitation of the input KPI data and trained AI/ML models to **optimize the RAN** configuration parameters.





O-RAN architecture description 5.00, O-RAN, Alfter, Germany, Jul. 2021.

Data-driven Optimization: Wide-Scale Radio Resource Management

Current NTN architecture:

• RRM optimization is restricted to the **point of view of a single satellite**

O-RAN enabled NTN architecture:

• Dynamic RRM performed **at constellation scale**.

The AI app in the near-RT RIC will need to **collect information about**:

- The area traffic demands and user locations;
- The satellite ephemeris to identify the best scheduling options based on ancillary information.

The RIC based approach to RRM will introduce:

- Longer latency in the scheduling computation compared to on-board AI solution; but
- A comprehensive optimization of the resources.





Challenges

The dynamic functional split requires an high functional flexibility on the payload.

That grade of flexibility can be met by:

- Relying on **general-purpose computing** processors.
 - Computing technology currently available is not fully compliant with NGSO.
- Implementing the single RAN functions on specialized and isolated hardware that can be individually activated.
 - Implies high complexity design and **poorly exploited payload** hardware.

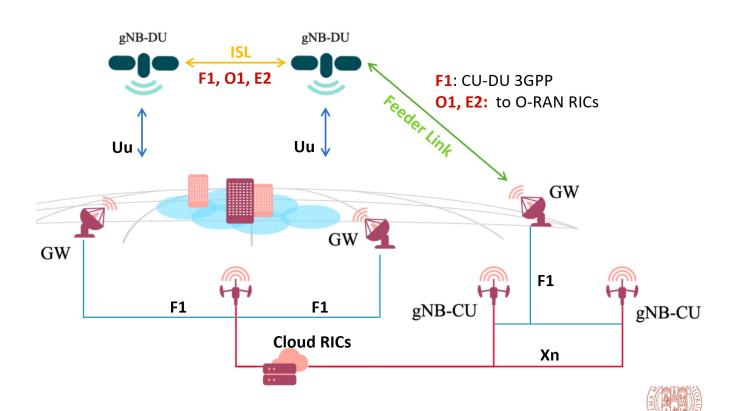


Currently applicable to low-capacity services



Challenge: Reliable Delivery of F1 Interface PDUs

- The F1 interface is a constantly available logical link between the CU and the DUs.
- In NGSO constellations there is the need for **feeder link and INL handovers**.
- The F1 is made logically always available adding a layer of abstraction.
- This can cause late delivery or loss of a consistent number of PDUs, currently not foreseen by 3GPP.
- introduction of near-RT RIC to route the F1 PDUs towards an active link



Challenge: Global Distribution of the RAN Optimization Functions

- The near-RT RIC has not a global view of the network.
- It is challenging to serve the DUs from different RICs while orbiting around the earth
- This is can be addressed in two ways:
 - Implementing over-dimensioned near-RT RICs to keep in memory the data and applications of all the RAN elements, even the ones not in visibility; or
 - Exchanging the data and applications between the near-RT RICs when it is needed, causing an increased load on the non-RT RIC in charge of managing the near-RT RICs.



Conclusion

Leveraging O-RAN is possible to **enhance the performance of NTNs** by means of:

- The full exploitation of AI relying on data-collection pipelines and centralized intelligence
- The optimal allocation of RAN functions to the different network nodes;

In order to meet this ambitious goal it is necessary to address the following **challenges**:

- Onboard gNB Functional Flexibility;
- Reliable Delivery of F1 Interface PDUs;
- Global Distribution of the RAN Optimization Functions.

Future works foresee the evaluation of these use cases through system simulators.







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